

**Appendix I Financial Institution ABC - Website Disclosure Model Form**

Type of Investment	Provider, Name, sub-type	Transactional			Ongoing			Affiliate	Special Rules
		Charges To investor	Compensation To firm	Compensation To adviser	Charges To investor	Compensation To firm	Compensation To adviser		
Non-Proprietary Mutual Fund (Load Fund)	XYZ MF Large Cap Fund, Class A Class B Class C	[ • ]% sales load as applicable	[ • ]% dealer concession	[ • ]% of transactional fee  Extent considered in annual bonus	[ • ]% expense ratio	[ • ]% 12b-1 fee, revenue sharing (paid by fund/ affiliate)	[ • ]% of ongoing fees  Extent considered in annual bonus	N/A	Breakpoints (as applicable)  Contingent deferred shares charge (as applicable)
Proprietary Mutual Fund (No load)	ABC MF Large Cap Fund	No upfront charge	N/A	N/A	[ • ]% expense ratio	[ • ]% asset-based annual fee for shareholder servicing (paid by fund/ affiliate)	[ • ]% of ongoing fees  Extent considered in annual bonus	[ • ]% asset-based investment advisory fee paid by fund to affiliate of Financial Institution	N/A
Equities, ETFs, Fixed Income		\$( • ) commission per transaction	\$( • ) commission per transaction	[ • ]% of commission  Extent considered in annual bonus	N/A	N/A	N/A  Extent considered in annual bonus	N/A	N/A
Annuities (Fixed and Variable)	Insurance Company A	No upfront charge on amount invested	\$( • ) commission (paid by insurer)	[ • ]% of commission  Extent considered in annual bonus	[ • ]% M&E fee  [ • ]% underlying expense ratio	\$( • ) Ongoing trailing commission (paid by underlying investment providers)	[ • ]% of ongoing fees  Extent considered in annual bonus	N/A	Surrender charge